



IN THE DISTRICT COURT OF CLEVELAND COUNTY
STATE OF OKLAHOMA

STATE OF OKLAHOMA, ex rel.,)
MIKE HUNTER,)
ATTORNEY GENERAL OF OKLAHOMA,)

Plaintiff,)

vs.)

Case No. CJ-2017-816

Judge Thad Balkman

- (1) PURDUE PHARMA L.P.;)
- (2) PURDUE PHARMA, INC.;)
- (3) THE PURDUE FREDERICK COMPANY;)
- (4) TEVA PHARMACEUTICALS USA, INC.;)
- (5) CEPHALON, INC.;)
- (6) JOHNSON & JOHNSON;)
- (7) JANSSEN PHARMACEUTICALS, INC.;)
- (8) ORTHO-MCNEIL-JANSSEN)
PHARMACEUTICALS, INC., n/k/a)
JANSSEN PHARMACEUTICALS;)
- (9) JANSSEN PHARMACEUTICA, INC.,)
n/k/a JANSSEN PHARMACEUTICALS, INC.;)
- (10) ALLERGAN, PLC, f/k/a ACTAVIS PLC,)
f/k/a ACTAVIS, INC., f/k/a WATSON)
PHARMACEUTICALS, INC.;)
- (11) WATSON LABORATORIES, INC.;)
- (12) ACTAVIS LLC; and)
- (13) ACTAVIS PHARMA, INC.,)
f/k/a WATSON PHARMA, INC.,)

Defendants.)

STATE OF OKLAHOMA } S.S.
CLEVELAND COUNTY }

FILED

APR 23 2019

In the office of the
Court Clerk MARILEYN WILLIAMS

**DEFENDANTS' MOTION TO EXCLUDE THE TESTIMONY
OF STATE EXPERT MR. TY GRIFFITH**

Pursuant to 12 O.S. § 2702 and *Daubert v. Merrell Dow Pharm., Inc.*, 509 U.S. 579 (1993), Defendants¹ move to exclude the testimony of State expert Mr. Ty Griffith.

I. INTRODUCTION

The Hospital Consumer Assessment of Healthcare Providers and Systems (“HCAHPS”) Survey is a patient satisfaction survey required by the Centers for Medicare and Medicaid Services (“CMS”) for all hospitals in the United States. The Survey was developed by CMS and the Agency for Healthcare Research and Quality. Patients who have had a hospital admission are surveyed on a list of quality issues shortly after their discharge from the hospital. In 2006, CMS added a series of pain management questions to the HCAHPS Survey.

The State’s Expert Witness Disclosures (“Disclosures”) state that Mr. Griffith will seek to provide an expert opinion about the “impact on hospital administration and prescribing behavior” from including pain management questions in the HCAHPS Survey. (Exhibit H to Disclosures.) Mr. Griffith seeks to opine that, “as a result” of the inclusion of the pain management questions on the HCAHPS Survey, “doctors inevitably felt pressure to prescribe more pain medication” to increase their survey scores. (*Id.*) Additionally—and despite the following not being mentioned anywhere in the Disclosures—Mr. Griffith testified at his deposition that he will also seek to provide an expert opinion on the alleged “influences” leading CMS and the Joint Commission on the Accreditation of Healthcare Organizations (“JCAHO”) to support the inclusion of the pain management questions in the HCAHPS Survey. (Griffith Dep. Tr., attached as **Exhibit 1**, at 202:24-203:14.)

¹ “Defendants” includes Defendants Teva Pharmaceuticals USA, Inc., Cephalon, Inc., Watson Laboratories, Inc., Actavis LLC, Actavis Pharma, Inc., Johnson & Johnson, Janssen Pharmaceuticals, Inc., Ortho-McNeil-Janssen, Pharmaceuticals, Inc., N/K/A Janssen Pharmaceuticals, Inc., and Janssen Pharmaceutica, Inc., N/K/A Janssen Pharmaceuticals, Inc.

Mr. Griffith has never provided an expert opinion on these topics. Nor should he be allowed to do so in this case. Mr. Griffith's lack of relevant work experience, and his stunning admission that he has failed to independently conduct any relevant research or consult any existing research or data in developing his opinions, render his testimony unqualified, unreliable, and therefore inadmissible in this case.

Although Mr. Griffith has spent the last 21 years working in the healthcare field, only 8 of those years involved a specialization in hospital administration. (Exhibit H to Disclosures.) Further, Mr. Griffith admits that the bulk of his hospital administration experience was spent in limited roles at small hospitals, with much of it confined to the years *prior* to the inclusion of the pain management questions on the HCAHPS Survey. Mr. Griffith simply has no knowledge about any hospitals other than the few at which he has worked, much less about the general, profession-wide practices of health care administrators and/or prescribers in Oklahoma or elsewhere in response to the HCAHPS Survey's pain management questions. Yet this is exactly the testimony the State seeks to introduce through Mr. Griffith.

Even if Mr. Griffith were to provide an expert opinion solely as to hospital administration and prescribing behavior *at the hospitals where he has worked*—a limitation that does not exist in the Disclosures—this testimony should, nevertheless, be excluded. As Mr. Griffith himself admits, even while employed in administrative roles at these few hospitals, his “contact with physicians was limited.” (Griffith Dep. Tr. at 122:09-123:11.) Nor has he ever spoken with any physician to confirm or deny whether they felt any pressure to prescribe opioids as a result of the HCAHPS' pain questions, much less whether they ever acted in response to any such pressure, even if it did exist. Shockingly, instead of seeking to supplement the deficiencies in his own base of knowledge through research, Mr. Griffith admits that he *purposefully avoided reviewing*

available data in order to not influence the preconceived opinions he formed based on his insufficient and irrelevant work experience. Accordingly, regardless of whether Mr. Griffith seeks to provide expert testimony as to healthcare administration and prescribing behaviors at the few hospitals where he has been employed or others, his “opinions” on even those topics are likewise unqualified, unreliable, and inadmissible.

Mr. Griffith’s purported “opinions” as to the supposed “influences” which led CMS and JCAHO to support the inclusion of the pain management questions in the HCAHPS Survey in 2006 are equally inadmissible. Mr. Griffith does not contest that he has no education or relevant experience regarding this topic. Indeed, he admits that he bases his “opinion” about CMS and JCAHO’s actions solely on two documents he received from the State’s legal counsel a mere two days prior to his deposition. Other than reviewing these two documents provided to him by the State’s counsel, Mr. Griffith admits that he has conducted no research on how or why the pain management questions were added to the survey, whether the entities that added them were influenced by anyone, or whether any alleged influence came from any pharmaceutical manufacturer. Mr. Griffith is simply unqualified to provide any expert opinions on this topic as his testimony is nothing more than rank speculation fueled by the State’s counsel.

Mr. Griffith’s opinions are also inadmissible for the additional reason that despite various allegations that prescribers, healthcare administrators, and other independent parties acted a certain way “as a result of” or “in response to” the HCAHPS Survey’s pain questions or alleged “influences,” (Exhibit H to Disclosures), Mr. Griffith admits that he failed to review *any* available data in forming these opinions, much less with the methodology that is required for “causation” expert opinions under *Daubert*.

For each of these independent reasons, Mr. Griffith's purported expert testimony fails to satisfy the basic requirements of 12 O.S. § 2702 and *Daubert*. As a result, Mr. Griffith's expert testimony should be excluded in its entirety.

II. LEGAL STANDARD

Oklahoma evaluates the admissibility of expert testimony pursuant to the standards established by the United States Supreme Court in *Daubert v. Merrell Dow Pharmaceuticals, Inc.*, 509 U.S. 579 (1993), and its progeny. *Christian v. Gray*, 2003 OK 10, ¶ 14, 65 P.3d 591, 600. The Oklahoma statute governing expert testimony, 12 O.S. § 2702, is "identical in substance" to Federal Rule 702, *id.*, 2003 OK 10, ¶ 6, 65 P.3d 591, 597, and provides that:

If scientific, technical or other specialized knowledge will assist the trier of fact to understand the evidence or to determine a fact in issue, a witness qualified as an expert by knowledge, skill, experience, training or education may testify in the form of an opinion or otherwise, if (1) [t]he testimony is based on sufficient facts or data; (2) [t]he testimony is the product of reliable principles and methods; and (3) [t]he witness has applied the principles and methods reliably to the facts of the case.

12 O.S. § 2702. These three requirements are commonly known as "qualification," "reliability," and "fit."

Daubert requires this Court to perform a "screening function" to ensure that Mr. Griffith's testimony is "not only relevant, but reliable." 509 U.S. at 589, 592. "[T]rial courts act as 'gatekeepers' to ensure that speculative, unreliable expert testimony does not reach the jury." *Kilpatrick v. Breg, Inc.*, 613 F.3d 1329, 1335 (11th Cir. 2010) (quoting *Daubert*, 509 U.S. at 597 n.13). The purpose of the reliability analysis is to "make certain that an expert, whether basing testimony upon professional studies or personal experience, employs in the courtroom the same level of intellectual rigor that characterizes the practice of an expert in the relevant field." *Kumho*, 526 U.S. at 152. In order to survive this requirement, the "proposed testimony must be supported by appropriate validation—*i.e.*, 'good grounds,' based on what is known." *Daubert*, 509 U.S. at

590. Thus, an expert's opinion must "rest on a reliable foundation." *Id.* at 597. The State, as the party offering the expert testimony, has the burden of proving admissibility by a preponderance of the evidence. *Id.* at 592.

Under these standards, all of Mr. Griffith's proffered testimony is inadmissible and must be excluded.

III. ARGUMENT

A. **Regardless Of Whether Mr. Griffith Seeks To Provide Expert Testimony As To Health Care Administration And Prescribing Behaviors At His Own Hospital Or Others, These Opinions Should All Be Excluded**

An expert may be qualified to testify "by knowledge, skill, experience, training, or education." *Gray*, 2003 OK 10, 65 P.3d 591, 597. Here, Mr. Griffith concedes that he is testifying based solely on his work experience.² However, Mr. Griffith's limited work experience at 4 hospitals does not equip him to opine as to the practices at all or even a majority of the hospitals in the State of Oklahoma, much less as to the general, profession-wide practices of all health care administrators and prescribing physicians. Further, even if Mr. Griffith were to opine solely as to the practices of health care administration and prescribing physicians at the few hospitals where he has held administrative positions, he admits that the quantity of work experience relevant to the opinions he seeks to offer is "limited." (Griffith Dep. Tr. at 104:14-105:15.) Rather than attempting to address this deficiency, Mr. Griffith also admits that he purposefully avoided reviewing available data so as to not influence the preconceived opinions he formed based on his insufficient work experience and speculation. Accordingly, regardless of whether Mr. Griffith

² (Griffith Dep. Tr. at 110:15-23) ("Q: All right. Is there anything other than your work experience in healthcare that you believe qualifies you to provide the expert opinions you're planning to offer in this case? . . . A: No, ma'am.")

seeks to provide expert testimony as to healthcare administration and prescribing behaviors at his own hospital or others, his opinions are unqualified, unreliable, and inadmissible.

Although the State seeks to introduce profession-wide testimony from Mr. Griffith about “healthcare administrators” and “prescribing behavior,” *see* (Exhibit H to Disclosures), Mr. Griffith’s testimony makes clear that his work experience simply does not qualify him to make such broad assertions. Indeed, at various times during his deposition, Mr. Griffith admitted that his work experience at his prior hospitals did not expose him to the data or practices of any other Oklahoma hospital:

- **Q:** “. . . [H]ave you ever talked to any other hospital administrator at another hospital in the State of Oklahoma about the -- about whether or not their chronic pain diagnosis have gone up since the late 1990s?
A: No.” (Griffith Dep. Tr. at 240:23-241:2.)
- **Q:** “Okay. Have you ever looked at data from any of those other hospitals in the State of Oklahoma, regarding the level of opioid medications that are being prescribed and administered in those hospitals from 1990 to today?
MR. HALL: Object to the form.
A: I have not, because they don't influence my personal experience in the places that I was, and I haven't reviewed more data --” (Griffith Dep. Tr. at 250:12-19.)
- **Q:** “. . . At any point in time during your professional or academic career, have you communicated with or gathered data from any other hospital or a hospital administrator in the State of Oklahoma, about how the inclusion of the pain management dimension in the HCAHP survey has impact -- impacted how they operate their hospital?
A: No.” (Griffith Dep. Tr. at 275:12-18.)
- **Q:** “Have you communicated or gathered data from any other hospital or hospital administrator in the State of Oklahoma at any time during your professional or academic career about the impact of including the pain management dimension on the HCAHPS survey as it relates to the prescribing behaviors at their hospitals?
MR. HALL: Object to the form.
A: Not to any other administrators, no.
Q: Okay. At any other hospitals?
A: I mean, in our own hospital --” (Griffith Dep. Tr. at 278:6-18.)

- **Q:** “And you don't know if pain management was a key driver within the other hospitals in the State of Oklahoma that you did not work at --”
MR. HALL: Same objection --
Q: -- do you
MR. HALL: -- asked and answered
Q: No it hasn't been answered. Can you answer that?
A: I've -- I -- I've never seen another hospital's individualized report.” (Griffith Dep. Tr. at 307:20-308:04.)

Not only does Mr. Griffith admit that he has no knowledge as to the practices of healthcare administrators and prescribing physicians at any hospital other than the 4 at which he has worked, but in fact he openly admits that he purposely chose *not* to conduct any research into these topics in order to avoid influencing his preconceived opinions:

- **Q:** “. . . And you've not pulled any data for the purpose of formulating your expert opinion in this case to determine how much those diagnosis codes have increased, have you?
MR. HALL: Object to the form.
A: No -- my expert opinion was my expert opinion from the beginning. I have not pulled any other data to influence my expert opinion.” (Griffith Dep. Tr. at 236:11-19.)
- **Q:** “At any point in time -- and I'm not just limiting this to since you became an expert in this case. Have you, at any point in time, reviewed or analyzed data as it relates to the hospitals in the State of Oklahoma and whether or not the increase -- whether or not there has been an increase in diagnosis for chronic pain since the late 1990s?
A: I have not reviewed any data, outside my own review of -- during my career of understanding those codes to influence my expert -- expert testimony.” (Griffith Dep. Tr. at 239:20-240:05.)
- **Q:** “Okay. Have you ever looked at data from any of those other hospitals in the State of Oklahoma, regarding the level of opioid medications that are being prescribed and administered in those hospitals from 1990 to today?
MR. HALL: Object to the form.
A: I have not because they don't influence my personal experience in the places that I was and I haven't reviewed more data --
Q: Right.
A: -- that might -- that would -- that would in some way -- you would -- did that influence your opinion? -- I have not gone out and reviewed data purposefully because it's -- these are my opinions.” (Griffith Dep. Tr. at 250:12-19.)

As Mr. Griffith himself concedes, he is simply not equipped to provide an expert opinion on behaviors at hospitals other than the 4 at which he worked. *See* (Griffith Dep. Tr. at 110:15-23.) Accordingly, any opinions Mr. Griffith might seek to offer regarding behaviors generally at Oklahoma hospitals should be excluded. *See Arias v. DynCorp*, 928 F. Supp. 2d 10, 17 (D.D.C. 2013) (finding expert was not qualified, notwithstanding expert’s “impressive credentials,” because “plaintiffs [did] not demonstrate[] how [expert’s] academic and professional experiences ma[d]e him qualified to testify” about the particular factual questions at issue).

Yet even if Mr. Griffith sought to provide an expert opinion solely as to hospital administration and prescribing behavior *at his prior hospitals*—a limitation that does not exist in the Disclosures—this testimony should be excluded too. Although Mr. Griffith has spent 21 years in healthcare, only 8 of those years involved a specialization in hospital administration. (Exhibit H to Disclosures.) And even during the short time he worked in hospital administration, Mr. Griffith admits that the bulk of his work experience was spent in limited roles at small hospitals, with much of it confined to the years *prior* to the implementation of the pain management questions in HCAHPS Survey. (Griffith Dep. Tr. at 121:4-8, 122:9-123:11.) Indeed, although Mr. Griffith seeks to opine that “doctors inevitably felt pressure to prescribe more pain medication” to increase their scores in the HCAHPS Survey, (Exhibit H to Disclosures), he himself admits that at his prior hospital his “contact with physicians was limited.” (Griffith Dep. Tr. at 121:4-8.) Further, even as Mr. Griffith’s contacts with physicians increased due to changing roles in his employment, this is of no moment because Mr. Griffith *never* asked a single physician if they felt increased pressure to prescribe pain medications as a result of the HCAHPS pain questions, much less if they ever acted on any said pressure. (Griffith Dep. Tr. at 282:18-295:1.)

Mr. Griffith's inadequate work experience and failure to speak to any physician regarding the supposed "pressure" to prescribe opioids as a result of the HCAHPS pain questions is compounded by the fact that despite the availability of data at his prior hospitals that might allow him and Defendants to confirm or deny his purported expert conclusions, he failed to seek out and review any such data:

- **Q:** "All right. So -- and, again, you worked at hospitals and healthcare facilities of different sizes -- those four that we mentioned are different sizes in terms of number of beds and number of average census per day --
A: Yes, ma'am.
Q: -- correct? So as we sit here today you're unable to provide me with any data or statistics in the percentage increase in the ICD9 diagnosis for the hospitals you've worked at from let's say 2000 to the present?
MR. HALL: Same objection.
A: It's been my experience that those -- those diagnoses have gone up.
Q: Right, I understand that. I'm asking you can you tell me how much they've gone up can you?
A: I have not.
Q: And you've not gone back and looked at any data since you were retained as an expert witness in this case to try to determine how much the ICD9 diagnosis have gone up at the hospitals in which you've worked?
A: Correct." (Griffith Dep. Tr. at 229:2-230:23.)
- **Q:** "Can you provide us . . . with any statistics or data regarding how, if at all the prescribing behavior by physicians as it relates to opioids has been impacted at either of the three hospitals you've worked at in Oklahoma since the implementation of the HCAHP survey?
A: I can only provide my opinions that were based upon my -- my experiences at those hospitals.
Q: Objection nonresponsive [] I'm asking can you provide us any statistics or data upon which those opinions?
A: I'm not.
Q: Are purportedly based?
A: I'm sorry.
Q: Can you provide me any data or starts particulars upon which your stated opinion is based as it relates to the impact of the implementation pain management dimension on the HCAHP survey on prescribing behavior at the facilities where you have worked since entering hospital administration?
MR. HALL: Object to the form.
A: I'm not a statistician so I cannot provide you with those numbers.
Q: And you don't have to be a statistician to provide me with those numbers?
A: Correct.

MR. HALL: Object to the form.

Q: So can you provide me with any data?

A: My tell is based on my experience, I cannot bring you data of the numbers for numbers to give to you at this time.

Q: But that data is out there, if you wanted to or had wanted to you could have pulled data from let's just say the facility that you're working at right now you could pull data couldn't you about how the chronic pain diagnosis has changed if at all in the past 20 years couldn't you?

MR. HALL: Object to the form.

A: I'm not sure I could do that with the private -- private sayings rules and state rules I'm not sure I could do it may be possible I just don't know if it's possible.

Q: And you haven't looked into whether or not that's possible believe?

MR. HALL: Object to the form.

A: I did not because my opinions were based upon my experience up to that point. I didn't want to pull data to -- to influence those opinions. I -- I wanted -- I would come to testify about my opinions and my experiences and I didn't want data be -- to be pulled in to -- to influence my opinion. My opinion was my opinion based upon my experience.

Q: So even if the -- if -- even if the data might have not supported your opinion I just chose to -- you chose not to want to look at the data because you didn't want it to influence your opinion?

MR. HALL: Object to the form.

A: I didn't want to be accused of influencing my opinion by the data.

Q: Got it." (Griffith Dep. Tr. at 272:22-274:24.)

- **Q:** "Have you done any research on that or compiled any data or reviewed any data, for purposes of making the statement in your expert report, to support that statement?

A: No I have not.

Q: Are you aware of any data that has been published or is publicly available that would support your statement here that the three most indicative dimensions on a hospital's performance are those three that you've listed: Physician communication, nurse communication and pain management?

A: I --

MR. HALL: Object to the form.

A: I listed those under my personal experience. I have not look -- reviewed data to inform that opinion." (Griffith Dep. Tr. at 297:21-298-5.)

- **Q:** "So during the 18 or so years that you ever been in hospital administration, how many times have you seen a physician write more prescriptions or a prescription or more prescriptions in order to improve a pain management score?

A: Again --

MR. HALL: Same objection.

A: -- I'm not --

Q: Is it --

A: I'm not going to put a number on it. Sorry.

Q: Okay. And that -- and have you kept data on that, such that you could put a number on it?

MR. HALL: Same objection.

A: No I have not.

Q: Have you looked at data on that?

A: I, purposely, did not review data before my expert testimony, so it would not so seem that it was influencing my opinions of an -- as an expert." (Griffith Dep. Tr. at 345:3-21.)

- **Q:** So you were just asked some questions about your observations about sales reps/ Do you -- do you recall that?

A: Yes.

Q: And did you -- is it your testimony that you observed an increase in the number of sales reps who visited doctors?

A: Yes.

Q: And that observation is based on your experience; it's not based on any data is it?

MR. HALL: Object to the form.

A: All of my observations are based upon my observations. My opinions are based upon my observations.

Q: But that's -- but your opinion is not based on any data that monitors or tracks the number of visits by sales reps is it?

A: I have no counter of sales reps, no.

Q: Sorry just so I understand -- your answer you said you have no count of --

A: No counter. You know, like a -- balls and strikes, you know, there's no counter of how many sales reps I saw, no.

Q: And there was no report you consulted?

MR. HALL: Object to the form.

A: No.

(Griffith Dep. Tr. at 356:23-357:22.)

Accordingly, regardless of whether Mr. Griffith seeks to provide expert testimony as to health care administration and prescribing behaviors at his own hospital or others, the fact is that Mr. Griffith lacks sufficient relevant work experience on either topic and has failed to review *any* data in preparing his opinions. Numerous courts have held in less egregious cases that failure to review substantial available data renders expert testimony inadmissible under *Daubert*.³ This Court should hold the same here.

³ See *Bowling v. Hasbro, Inc.*, No. CIV.A. 05-229S, 2008 WL 717741, at *4 (D.R.I. Mar. 17, 2008) (holding expert testimony inadmissible where "analysis lacks sufficient reference to facts,

B. Mr. Griffith's Opinions As To The Supposed "Influences" Behind CMS And JCAHO's Support For Including Pain Management Questions In The HCAHPS Survey Are Unqualified, Unreliable, And Should Be Excluded

Mr. Griffith's opinions as to the supposed "influences" behind CMS and JCAHO's support for including pain management questions in the HCAHPS Survey are equally inadmissible.

Mr. Griffith does not claim that he has any relevant education or experience in the workings of CMS or JCAHO. Nor does he provide any other reliable basis for his opinions about them. Indeed, he admits that the only basis for his opinions as to CMS and JCAHO are two documents he received from counsel two days prior to his deposition. He admits that other than reviewing the two documents forwarded by counsel, he has no knowledge and conducted no research on how the pain management questions were added, whether the entities that added them were influenced by anyone, or whether said influence came from a pharmaceutical manufacturer:

Q: Okay. So prior to looking at one of the documents that was provided to you two days ago --

A: Correct.

Q: -- by counsel for the State of Oklahoma, you had no knowledge or information about why the Joint Commission or any of these other bodies had started looking at pain back in the 1990s --

MR. HALL: Objection to the form

Q: -- is that correct?

A: Correct." (Griffith Dep. Tr. at 134:7-16.)

- **Q:** "Do you know any things that caused the Joint Commission to start telling hospitals to start focusing on pain?"

MR. HALL: Objection.

A: My understanding, reading the AIG report -- or the Office -- the General --

data, or any relevant information at all" and instead, "begins and ends with [expert's] reliance on and reference to his own expertise."); *LeClercq v. The Lockformer Company*, No. 00-C-7164, 2005 WL 1162979, at 4 (N.D. Ill. Apr. 28, 2005) (granting motion to exclude expert testimony where "disregard of relevant data undermine[d] the reliability of [the expert's] entire opinion."); *IP Innovation L.L.C. v. Red Hat, Inc.*, 705 F. Supp. 2d 687, 690 (E.D. Tex. 2010) (granting motion to exclude expert testimony where expert failed to consider available "factual data necessary for a reliable assessment.").

Q: The GAO?

A: -- the GAO report that -- that there was influences from companies to make sure that that became a focus of care.

Q: So your statement that -- everything you just said.

Q: -- is based on what you read in the General Accounting Office report two days ago?

A: Yes.” (Griffith Dep. Tr. at 202:24-203:14.)

- Q: “Okay. Prior to reading the General Accounting Office report that was given to you by the lawyers for the State two days ago, did you have any information at all Mr. Griffith as to what led the Joint Commission to start requiring hospitals to focus on pain sometime in the mid 1990s?

MR. HALL: Object to the form.

A: My experience in healthcare was that we focused on pain from the beginning of my career.

Q: I appreciate --

A: Tho --

Q: -- that

A: Those things led to things that perhaps were not as -- were not good. When it started and how it started, I -- I'm -- I was not privy to that knowledge before given -- being given that report.

(Griffith Dep. Tr. at 203:16-204:7.)

- Q: “-- very simply: Before getting a copy of a document that was provided to you, by the lawyers for the State of Oklahoma, two days ago --

A: Sure

Q: -- did you have any knowledge or information about what caused the Joint Commission, back in the mid 1990s to start asking hospitals to focus on pain?

MR. HALL: Same objection asked and answered.

A: I knew we focused on it.

Q: Right.

A: Whether I knew why, I -- I -- it -- it was not my -- it was... it was not our place to ask why it was only our place to do and die.

Q: Okay.

A: We knew that it was a big deal.

Q: I get that, Mr. Griffith --

A: And so if you --

Q: -- and you've said that --

A: -- ask me do I -- did I know how it got there no.” (Griffith Dep. Tr. at 207:10:-208-4.)

To Defendants' knowledge, no court has ever held that an individual with no relevant education or experience may provide an expert opinion solely based on the review of two documents provided by counsel. This Court should not be the first.

Nevertheless, the two documents in this case are not a reliable basis for Mr. Griffith's speculation about why the questions regarding pain were initially included in the HCAHPS Survey. The first of these documents briefly discusses the pain management questions and the HCAHPS Survey, but does not discuss how or why the pain management questions were added to the survey, much less attribute their inclusion to the influence of any pharmaceutical manufacturer.⁴ The second does not even discuss the pain management questions or the HCAHPS Survey at all.⁵ They simply cannot, as Mr. Griffith alleges, serve as the basis for his opinion as to why the pain management questions were included in the HCAHPS Survey, much less as the basis for a reliable expert opinion as required under *Daubert*.

C. All Of Mr. Griffith's Opinions Related To Causation Should Be Excluded Because They Are Nothing More Than *Ipse Dixit*

Mr. Griffith seeks to opine that prescribers, healthcare administrators, and other independent parties acted a certain way "as a result of" or "in response to" the HCAHPS survey's pain questions or alleged influences. (Exhibit H to Disclosures.) Those opinions are especially unreliable.

⁴ The President's Commission on Combating Drug Addiction and The Opioid Crisis, Final Report (2017), *accessible at* https://www.whitehouse.gov/sites/whitehouse.gov/files/images/Final_Report_Draft_11-15-2017.pdf

⁵ U.S. Government Accountability Office, Report to Congressional Requesters, PRESCRIPTION DRUGS: OXYCONTIN ABUSE AND DIVERSION AND EFFORTS TO ADDRESS THE PROBLEM (Dec. 2003), *accessible at* <https://www.govinfo.gov/content/pkg/GAOREPORTS-GAO-04-110/pdf/GAOREPORTS-GAO-04-110.pdf>

Where, as here, “an expert’s opinion relates to causation, reliability of that opinion is provided when the expert's opinion is based upon a reliable method for determining causation and the conclusion is analytically appropriate to that method.” *Gray*, 2003 OK 10, ¶ 36, 65 P.3d 591, 607. “The reliability of the method is not shown merely because the expert states that causation exists.” *Id.* “Generally, the district court should focus on an expert’s methodology rather than the conclusions it generates.” *Daubert*, 509 U.S. at 595. “An expert’s opinion on causation must be more than *ipse dixit*.” *Gray*, 2003 OK 10, ¶ 36, 65 P.3d 591, 607.

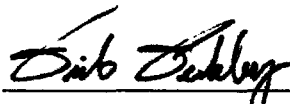
As explained in more detail above in Sections II.A-B, Mr. Griffith has failed to review *any* of the available of data that would allow him and Defendants to confirm or deny his purported expert conclusions, much less provide any methodology for his conclusions. Accordingly, Mr. Griffith’s opinions as to causation are nothing more than *ipse dixit* and should be excluded under *Daubert*.

IV. CONCLUSION

For the multiple, independent reasons described above, Defendants respectfully request that this Court exclude the testimony of Mr. Griffith in its entirety.

Dated: April 23, 2019

Respectfully submitted,



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Pursuant to 12 O.S. § 2005(D), and by agreement of the parties, this is to certify on April 23, 2019, a true and correct copy of the above and foregoing has been served via electronic mail, to the following:

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EXHIBIT 1

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IN THE DISTRICT COURT OF CLEVELAND COUNTY

STATE OF OKLAHOMA

STATE OF OKLAHOMA, ex rel.,)
MIKE HUNTER, ATTORNEY GENERAL)
OF OKLAHOMA,)

Plaintiff,)

-vs-)

No. CJ-2017-816

PURDUE PHARMA, L.P.; et al.,)

Defendants.)

* * * * *

VIDEOTAPED DEPOSITION OF TY GRIFFITH

TAKEN ON BEHALF OF THE DEFENDANTS

IN OKLAHOMA CITY, OKLAHOMA

ON FEBRUARY 22, 2019

COMMENCING AT 9:14 A.M.

* * * * *

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REPORTED BY: BETH A. MCGINLEY, CSR, RPR

1 an EMT, basic.

2 Q Okay.

3 A There are different levels. At that time, there
4 were three levels: basic, intermediate and paramedic.

5 Q Uh-huh.

6 A Now, there's just basic and -- and paramedic.

7 Q Uh-huh.

8 A But I'm a -- I was -- at that time, took and
9 passed, the national registered EMT basic.

10 Q Got it. Okay. Thank you for that.

11 Did I understand you correctly, earlier today,
12 to say that you believe your qualifications to testify as
13 an expert on the issues outlined in the disclosure in this
14 case is derived from your work experience?

15 A Yes, ma'am.

16 Q All right. Is there anything, other than your
17 work experience in healthcare, that you believe qualifies
18 you to provide the expert opinions you're planning to
19 offer in this case?

20 MR. HALL: Object to the form.

21 THE WITNESS: I'm sorry?

22 MR. HALL: Go ahead.

23 A No, ma'am.

24 Q (By Ms. Patterson) Okay.

25 A Just my --

1 Q So let's --

2 A -- work experience.

3 Q I'm sorry?

4 A Just my work experience. I apologize.

5 Q Okay. So I want to go through your work
6 experience.

7 A Okay.

8 Q And let's use your CV as sort of a cheat sheet
9 to do that, and we'll work --

10 A Okay.

11 Q -- backwards.

12 You indicate, on Page 3, that you worked, at
13 some point in time, as a lead therapy technician, from
14 1996 to 1999, at Stillwater Medical Center. Was that
15 while you were in college?

16 A It was while I was in college. My wife -- I got
17 married after my sophomore year and my wife was a year
18 behind me and so I waited -- quote-unquote, "waited" there
19 in Stillwater for her, for a year. So I finished school
20 in 1998 but worked until the fall -- or the spring of
21 1999, while she finished her degree.

22 Q Okay. But you were not in any kind of hospital
23 administration role at that point in time?

24 A I would say no.

25 Q And was -- during that period of time, you were

1 Q Oh, sorry.

2 A -- jump from position to position.

3 Q You're right. So you moved --

4 A Sorry.

5 Q -- moved into a different position. Was that a
6 promotion?

7 A Yes, ma'am.

8 Q Okay. So you were promoted from the supervisor
9 position to the management process administrator?

10 A Uh-huh.

11 Q And you held that position until 2003?

12 A Yes, ma'am.

13 Q All right. And both of those positions were in
14 Oklahoma City. I see that. Okay.

15 Did you consider the management process
16 administrator position to be a position in what you have
17 defined as hospital administration?

18 A Yes, ma'am.

19 Q Okay. So that would have been your first
20 position in hospital administration?

21 A Yes, ma'am.

22 Q And you used the term, earlier, "director." Did
23 you -- do you equate that to a director-level position?

24 A It was a director-level position. I reported
25 to the -- to the -- I reported to two people. I -- I

1 reported directly to the president, but I also reported to
2 a vice-president. If you report to a vice-president,
3 you're a director-level position.

4 Q Right, okay. And, again, the years that you
5 held that position were years prior to the implementation
6 of the HCAHPS survey, correct?

7 A The implementation of the formal HCAHPS survey
8 from CMS, yes.

9 Q Okay. Let's look at Page 2 of your CV. It
10 looks like, in 2003, you made a change and you moved to a
11 new position with a new employer, Unity Health Center; is
12 that right?

13 A Correct.

14 Q Okay.

15 A And -- and let me say something about Unity.

16 Q Uh-huh.

17 A If you go looking for that, you're not going to
18 find it now.

19 Q Uh-huh.

20 A That has transitioned to be part of the SSM
21 network, who I work for -- they -- they're now called St.
22 Anthony's -- or SSM Health St. Anthony Shawnee. They have
23 a long term, but that was -- Unity Health Center was the
24 hospital in Shawnee. It's not that it closed, it just
25 changed -- they were purchased by SSM, so, if you were

1 looking for that later, I just didn't want --

2 Q Got it.

3 A -- you to find --

4 Q Well, was it -- but when you were working there
5 from 2003 to 2010, was it part of the SSM network?

6 A Not in an ownership capacity. It -- St. -- SSM
7 has what -- it was kind of on affiliate status and it was
8 an affiliate, but it was not owned by SSM.

9 Q Okay. Got it. Why did you leave the position
10 at St. Anthony to go to the position at Unity Health
11 Center in Shawnee?

12 A So one of the things that I -- as a management
13 process administrator, my main role was to help with
14 quality and satisfaction, and whatnot, and -- and -- and,
15 honestly, cost, from a very hospital-based,
16 department-to-department opportunity.

17 My contact with physicians during that time was
18 based mostly just on those types of things, productivity
19 and what we were -- what we were doing. When I -- and in
20 healthcare, to advance or to kind of become mature -- a --
21 more mature in an organization, you need more physician
22 contact, you need to be a -- a -- you need to be a
23 physician guy, so to speak, and so -- to use a colloquial
24 term.

25 So, as the management process administrator, my

1 contact with physicians was limited; you know, five to
2 10 percent of my position was physician contact. It
3 wasn't nil, but it was limited.

4 Moving to the director of medical staff
5 development, that is a physician/administrative type role
6 and I recruited physicians, I was liaison of physicians, I
7 ran clinics, I was involved in the hospital care, the
8 hospitalist program. That is a -- that's -- my -- there
9 -- there's, basically, a couple of paths in hospital
10 administration: One of them is through nursing, but you
11 have --

12 Q Uh-huh.

13 A -- to be a nurse for that.

14 Q Right, right.

15 A And one of them is as a physician --

16 Q Right.

17 A -- person.

18 Q Right.

19 A And I -- that's the path that I chose. I had a
20 mentor that had worked with me at St. Anthony, who had
21 moved out to -- to Unity --

22 Q Okay.

23 A -- and he had -- he was the COO.

24 Q Okay.

25 A And I moved out to work for him in this

1 assessment, yes.

2 Q Okay. And -- and do you know how it came to be
3 that pain became part of the assessment that The Joint
4 Commission required hospitals to look at in the late '90s
5 and early 2000s?

6 A As I -- as I've referenced, I did read the
7 accounting practices -- or the accounting office's
8 understanding of that being brought in to -- as a -- as a
9 portion of Press Ganey -- or, excuse me, not Press Ganey.
10 I'm getting my --

11 Q Uh-huh.

12 A -- places confused here.

13 Q Uh-huh.

14 A Under the -- the General Accounting Office's
15 report said it was brought in to be part of that survey or
16 be part of that information in the '90s, as it was
17 influenced by. I -- I can't say that I know -- I knew,
18 before, why it was part. I don't -- I can't say, before I
19 saw that document, I had knowledge of why Press Ganey --
20 or, excuse me, The Joint Commission started to work on
21 that, no.

22 Q Okay. I'm very confused by the answer.

23 A Okay.

24 Q So let me make sure --

25 A Okay.

1 Q -- I understand. Are --

2 A You asked me if I knew why that Press -- Press
3 Ganey -- excuse me, The Joint Commission was working on
4 that. Prior to looking at the Office of Inspector General
5 Office (sic), I did not have knowledge of why they started
6 to work on that.

7 Q Okay. So prior to looking at one of the two
8 documents that was provided to you two days ago --

9 A Correct.

10 Q -- by counsel for the State of Oklahoma, you had
11 no knowledge or information about why The Joint Commission
12 or any of these other bodies had started looking at pain
13 back in the 1990s --

14 MR. HALL: Object to the form.

15 Q (By Ms. Patterson) -- is that correct?

16 A Correct.

17 Q All right. Do you know how far back in time the
18 accrediting bodies in -- or surveying organizations, such
19 as The Joint Commission or Press Ganey -- how far back it
20 was that they began looking at pain and pain management as
21 an aspect of patient satisfaction?

22 A No, I do not.

23 Q Did it start in the '70s? Did it start in
24 the --

25 A I don't -- I don't -- my career started in

1 the -- in the '90s. I know we -- we cared about it when I
2 started in 1996.

3 Q Okay. But -- but when The Joint Commission or
4 Press Ganey or when any of these other surveying entities
5 started asking hospitals or requiring hospitals to look at
6 pain and pain management, you just don't know, as we sit
7 here today, when -- when that sort of a trend started, do
8 you?

9 MR. HALL: Object to the form.

10 A I believe that the Office of Ins- -- I keep
11 wanting to say Office of Inspector General, but that's not
12 the report --

13 Q (By Ms. Patterson) The General Accounting
14 Office.

15 A The General Accounting Office --

16 Q Uh-huh.

17 A -- report stated that that was in the '90s, in
18 the -- in the mid to late '90s, but I had no knowledge,
19 before seeing that report, of when that was.

20 Q Okay. Let me -- I think I can put it this way:
21 The only knowledge that you have, as we sit here today, of
22 when pain became an element of -- or pain management was
23 something that was being looked at by the accrediting
24 bodies or the surveying bodies, is based on what you read
25 in the article that was provided to you by plaintiffs'

1 committee --

2 A Yes.

3 Q -- right?

4 A Yes, absolutely.

5 Q So I'm trying to figure out: In your
6 experience, Mr. Griffith, when was it that The Joint
7 Commission began focusing on pain to such an extent that
8 you believe hospitals -- as you put it, it was taken to
9 the next level?

10 A I would say the mid '90s.

11 Q Mid '90s, okay. Which is, what, right around
12 the time you were getting into healthcare?

13 A Yes, ma'am.

14 Q Okay. So in the mid '90s, it's your testimony,
15 is when -- again, in your experience, is when The Joint
16 Commissions (sic) started taking it up a notch in terms
17 of -- of telling hospitals to focus on pain, among other
18 things, correct?

19 A Yes.

20 Q Okay. Now, do you know what prompted The Joint
21 Commission to start telling hospitals to focus on pain?

22 MR. HALL: Object to the form.

23 A I don't know all -- all things, no.

24 Q (By Ms. Patterson) Do you know any things that
25 caused The Joint Commission to start telling hospitals to

1 start focusing on pain?

2 MR. HALL: Objection.

3 A My understanding, reading the AIG report -- or
4 the Office -- the General --

5 Q (By Ms. Patterson) The GAO?

6 A -- the GAO report, that -- that there was
7 influences from companies to make sure that that became a
8 focus of care.

9 Q So your statement that -- everything you just
10 said --

11 A Uh-huh.

12 Q -- is based on what you read in the General
13 Accounting Office report two days ago?

14 A Yes.

15 MR. HALL: Object to the form.

16 Q (By Ms. Patterson) Okay. Prior to reading the
17 General Accounting Office report that was given to you by
18 the lawyers for the State two days ago, did you have any
19 information, at all, Mr. Griffith, as to what led The
20 Joint Commission to start requiring hospitals to focus on
21 pain sometime in the mid 1990s?

22 MR. HALL: Object to the form.

23 A My experience in healthcare was that we focused
24 on pain from the beginning of my career.

25 Q (By Ms. Patterson) I appreciate --

1 A Tho- --

2 Q -- that.

3 A Those things led to things that, perhaps, were
4 not as -- were not good.

5 When it started and how it started, I -- I'm --
6 I was not privy to that knowledge before given -- being
7 given that report.

8 Q Okay.

9 A But my experience was -- is that whether I knew
10 the genesis, I understood the Exodus, Leviticus, Numbers
11 and Deuteronomy.

12 Q Okay. I get that. I'm asking you right now,
13 though, about the genesis --

14 A Okay.

15 Q -- okay? All right.

16 A Did I know that there was influence on -- on The
17 Joint Commission? No, I did not.

18 Q Okay.

19 A I know that -- I knew that The Joint Commission
20 took that very seriously.

21 Q Okay.

22 A I knew that The Joint Commission wanted us to
23 focus on it and we made changes --

24 Q Sure, I understand that.

25 A -- and we tried to improve on that --

1 Q You -- you've said that --

2 A -- in my experience.

3 Q You've said that many times --

4 A Sorry.

5 Q -- and I get that. I get that, at some point,
6 and you've now told me in the mid 1990s, the message, as
7 far as you understood it, became very clear, from The
8 Joint Commission, that The Joint Commission wanted
9 hospitals, that wanted to stay accredited, to focus on
10 pain, right?

11 A Yes.

12 Q Okay. My question is, though: Do you know,
13 other than what you read in the General Accounting Office
14 document that was provided to you, by these lawyers
15 representing the State, two days ago --

16 A Correct.

17 Q -- did you have any information, prior to two
18 days ago, about what might have caused The Joint
19 Commission to start asking hospitals to put a focus on
20 pain?

21 MR. HALL: Object to the form.

22 A I was --

23 Q (By Ms. Patterson) Yes or no.

24 A I was --

25 MR. HALL: Same objection.

1 A I was given that information. My experience --
2 what I'm here to testify today about was my experience
3 with how the HCAHP questions, which is a linear back to --
4 to -- to The Joint Commission, influenced what we were
5 doing within the hospitals and how I believe that that
6 influenced physicians into doing --

7 Q (By Ms. Patterson) We're going to get to that.

8 A I know you are.

9 Q We're going to get to that.

10 A The idea that, because I didn't know that --
11 where The Joint Commission influenced, could -- meant I
12 didn't know anything beyond that, is --

13 Q I'm not saying that.

14 A -- outside of the --

15 Q That's not what I'm saying at all.

16 A -- realm of possibility.

17 I knew that we were focusing on it. Whether I
18 knew how it got on that book or not, until two days ago,
19 is irrelevant to the idea of what happens with patients.

20 Q I understand that you may think it's irrelevant
21 to that, and we're going to get to that --

22 A Uh-huh.

23 Q -- in a minute, but I'm still back here on when
24 this focus began, because you've made a pretty big deal
25 about the fact that, at some point in time, this focus by

1 The Joint Commission became pretty apparent to the
2 hospital community --

3 A That was -- when I --

4 Q -- right?

5 A -- got to the hospitals --

6 Q Right.

7 A -- it was a focus when I was working, yes.

8 Q Okay. And all I'm asking you --

9 A Yeah.

10 Q -- very simply: Before getting a copy of a
11 document that was provided to you, by the lawyers for the
12 State of Oklahoma, two days ago --

13 A Sure.

14 Q -- did you have any knowledge or information
15 about what caused The Joint Commission, back in the mid
16 1990s, to start asking hospitals to focus on pain?

17 MR. HALL: Same objection, asked and answered.

18 A I knew we focused on it.

19 Q (By Ms. Patterson) Right.

20 A Whether I knew why, I -- I -- it -- it was not
21 my -- it was... it was not our place to ask why. It was
22 only our place to do and die.

23 Q Okay.

24 A We knew that it was a big deal.

25 Q I get that, Mr. Griffith --

1 A And so if you --

2 Q -- and you've said that --

3 A -- ask me do I -- did I know how it got there,
4 no.

5 Q Okay. That's all I wanted to know.

6 A Okay.

7 Q Thank you. Now, we can move on to something
8 else.

9 A Thank you.

10 Q Okay. Now, you read something in the Joint
11 Accounting Office -- I'm sorry, the General Accounting
12 Office document that you were provided two days ago that,
13 sounds like, had some commentary on what might have led
14 The Joint Commission to focus on pain. You've read
15 something in that document about that, correct?

16 MR. HALL: Object to the form.

17 Q (By Ms. Patterson) Is that right?

18 MR. HALL: Object to the form.

19 A I have -- I read the General Accounting
20 document.

21 Q (By Ms. Patterson) Okay.

22 A Yes.

23 Q All right. Other than reading, in the General
24 Accounting Office document, about what might have -- and,
25 obviously, that document is going to speak for itself.

1 Q Can you tell me what the percentage increase is?

2 A I cannot.

3 MR. HALL: Same objection.

4 Q (By Ms. Patterson) Can you give me a nominal
5 increase --

6 MR. HALL: Same objection.

7 Q (By Ms. Patterson) -- based on number of
8 prescriptions per year?

9 MR. HALL: Same objection.

10 A I --

11 Q (By Ms. Patterson) Or number -- number of
12 diagnoses per year?

13 MR. HALL: Same objection.

14 A I believe there's to be an increase. I cannot
15 give you a percentage.

16 Q (By Ms. Patterson) Okay. Why not?

17 MR. HALL: Same objection.

18 A Because you asked me to be completely truthful
19 and honest and I want to be completely truthful and honest
20 and, with that -- with that, I -- I didn't calculate those
21 things. I just saw them move up the list.

22 Q (By Ms. Patterson) All right. So -- and, again,
23 you worked at hospitals and healthcare facilities of
24 different sizes -- those four that we mentioned are
25 different sizes, in terms of number of beds and number of

1 average census per day --

2 A Yes --

3 Q -- correct?

4 A Yes, ma'am.

5 Q All right. So, as we sit here today, you're
6 unable to provide me with any data or statistics on the
7 percentage increase in the ICD-9 diagnoses for the
8 hospitals that you've worked at from, let's say, 2000 to
9 the present?

10 A It --

11 MR. HALL: Same objection.

12 A It's been my experience that those I- -- those
13 diagnoses have gone up.

14 Q (By Ms. Patterson) Right, I understand that.
15 I'm asking you: Can you tell me how much they've gone up?
16 Can you --

17 A I cannot.

18 Q Okay. And you've not gone back and looked at
19 any data, since you were retained to act as an expert
20 witness in this case, to try to determine how much the
21 ICD-9 diagnoses have gone up at the hospitals in which
22 you've worked?

23 A Correct.

24 MR. HALL: Same --

25 Q (By Ms. Patterson) Okay.

1 external.

2 A Okay.

3 Q Okay? So -- and let me just kind of give you an
4 idea of what I'm looking for. I want to ask you about
5 what data you have actually pulled and looked at with --
6 with regard to the hospitals where you've worked.

7 A Uh-huh.

8 Q And then I'm going to ask you some questions
9 about what data, if any, you've looked at on a national
10 level.

11 A Okay.

12 Q And then I'm going to ask you what data you've
13 looked at, if any, for hospitals just in the State of
14 Oklahoma.

15 A Okay.

16 Q Okay?

17 A I understand.

18 Q And so let's talk -- let's talk, first, about
19 the diagnosis for chronic pain, whether it's an ICD-9 or
20 ICD-10. I don't want to get hung up on those differences.

21 A Okay.

22 Q There -- there have been diagnosis codes for
23 chronic pain going back to the -- to the mid '90s --

24 A Correct.

25 Q -- right? Okay.

1 A Or before, yes.

2 Q Right. And, again, I'm just limiting my
3 questions to the mid '90s because that's the only period
4 of time I think you can testify about, right?

5 MR. HALL: Object to the form.

6 Q (By Ms. Patterson) Since the mid '90s, when
7 you've been in hospital administration?

8 A I was in the hos- -- I got into the -- I got
9 into hos- -- healthcare in the mid '90s and --

10 Q Right.

11 A -- hospital administration in the early 2000s.

12 Q And I'm not hearing you say you've done any
13 research or reading or study about diagnosis codes or --
14 or levels of pain medications being prescribed prior to
15 the period of time you got into the healthcare field; is
16 that right?

17 A Correct.

18 Q Okay. So my questions are -- can only be, then,
19 focused on what, if any, study or research you've done
20 since you got into this field, which is the mid 1990s?

21 A Correct.

22 MR. HALL: Object to the form.

23 Q (By Ms. Patterson) Okay. So, now that we've got
24 our time frame established, what I'm wanting to know from
25 you is: At any time -- well, strike that.

1 Can you tell me, for the facilities in which you
2 have worked during that period of time -- can you tell me
3 the percentage increase, if any, in the diagnosis for
4 chronic pain at those facilities from the mid 1990s until
5 today?

6 MR. HALL: Object to the form.

7 A I can tell you they've increased.

8 Q (By Ms. Patterson) But you can't tell me the
9 level at which they've increased?

10 A Correct.

11 Q Can you tell me -- well, strike that.

12 And you've not pulled any data, for the purpose
13 of formulating your expert opinion in this case, to
14 determine how much those diagnoses codes have increased,
15 have you?

16 MR. HALL: Object to the form.

17 A No -- my expert opinion was my expert opinion
18 from the beginning. I have not pulled any other data to
19 influence my expert opinion.

20 Q (By Ms. Patterson) Well, I don't think you've
21 pulled any data on anything, have you?

22 A I've pulled data. I --

23 Q When?

24 A I -- I have -- over my career, I've looked at
25 ICD-10 --

1 Q Okay.

2 A -- ICD-9 and ICD-10 diagnosis, and I've looked
3 at -- at the spend that we've done on our own employees
4 and seen --

5 Q Right.

6 A -- it go from the -- like, lower on the list --
7 and I'm not going to say exact numbers, because I want to
8 be truthful and honest --

9 Q Right.

10 A -- a hundred percent, but lower on the list,
11 that chron- -- chronic medications were lower on the list
12 and, as we went through the years, especially as we
13 focused more and more from The Joint Commission, as we
14 were instructed to do, on pain, and then we get into IC --
15 or HCAHPS surveys, I've seen those opioids come up the
16 list, I've seen those chronic diagnosis codes, which
17 mean -- if you have a chronic diagnosis code of pain,
18 normally you have that so that you qualify for a pain
19 medication, and those have come up the list. Can I tell
20 you the exact percentages? No. Because I want to be
21 above-board and completely truthful and honest, but they
22 have come up.

23 Q Okay. In your experience?

24 A In my experience.

25 Q At the hospitals where you've worked?

1 A At my experience, in the hospital I've worked,
2 which is the only thing I can testify to.

3 Q Which is -- which is four hospitals?

4 A Which is four hospitals in --

5 Q Three of --

6 A -- two states.

7 Q -- which were in the -- in the state of
8 Oklahoma?

9 A Correct.

10 Q Okay. How many hospitals are there in the state
11 of Oklahoma?

12 A I believe -- I -- I -- I couldn't tell you
13 exactly. I have a number, I think, but I don't -- I don't
14 know.

15 Q Can you give me the number that you think and
16 I'll understand --

17 A I believe it's --

18 Q -- that it's an estimate.

19 A I believe it's 260 hospitals.

20 Q About 260 hospitals. Do you have any number of
21 how many hospitals there are in the United States that are
22 subject to this -- or have been subject to this Joint
23 Commission focus on pain since the mid 1990s?

24 MR. HALL: Object to the form.

25 Q (By Ms. Patterson) Thousands?

1 MR. HALL: Object to the form.

2 A There's a lot of hospitals in the United States.

3 I -- I don't want to --

4 Q (By Ms. Patterson) Thousands?

5 A I don't want to proctor a guess on that because

6 I don't -- I don't have any -- I don't know.

7 Q Okay. Well, if there are over 200 hospitals in
8 the state of Oklahoma, safe to say that there are probably
9 thousands of hospitals across the United States that,
10 since the 1990s, have been subject to this focus that
11 you've told me about, from The Joint Commission, on pain?

12 A I just --

13 MR. HALL: Object to the form.

14 A I would say that there are lots of hospitals
15 influenced by The Joint Commission.

16 Q (By Ms. Patterson) Okay.

17 A Yes.

18 Q And you've -- have you, at any point in time --

19 A Uh-huh.

20 Q -- at any point in time -- and I'm not just
21 limiting this to since you became an expert in this case.

22 Have you, at any point in time, reviewed or
23 analyzed data as it relates to the hospitals in the state
24 of Oklahoma and whether or not the increase -- whether or
25 not there has been an increase in diagnoses for chronic

1 pain since the late 1990s?

2 MR. HALL: Object to the form.

3 A I have not reviewed any data, outside my own
4 review of -- during my career, of understanding those
5 codes, to influence my expert -- ex- -- testimony.

6 Q (By Ms. Patterson) So -- so your testimony on --
7 on whether or not there has been an increase in the number
8 of chronic pain diagnoses is limited to what you know from
9 the three hospitals that you've worked at in the State of
10 Oklahoma?

11 MR. HALL: Object to the form, mischaracterizes
12 his testimony.

13 A My understanding of hospital administration is
14 my understanding of hospital administration. I can't tell
15 you what goes on at every hospital, but I know what goes
16 on at my hospital.

17 Q (By Ms. Patterson) Right.

18 A And I talk to other administrators and I would
19 say that my -- my -- my experience is not unique, but you
20 would have to ask them.

21 Q Right. Have you talked to any other hospital
22 administrator -- and, if so, I want you to tell me who.
23 Have you ever talked to any other hospital administrator
24 at another hospital in the state of Oklahoma, about the --
25 about whether or not their chronic pain diagnoses have

1 gone up since the late 1990s?

2 A No.

3 Q Okay. So, to the extent you're offering an
4 opinion here today -- or part of your opinion here today
5 is that there has been an increase in chronic pain
6 diagnoses since the late 1990s, that is based on the data
7 that you've had an opportunity to review at the three
8 different hospitals that you've worked at in Oklahoma?

9 A I would say yes.

10 MR. HALL: Same objection.

11 Q (By Ms. Patterson) And that's not -- and your
12 opinion is not based on data that you've looked at related
13 to any other hospital in the state of Oklahoma, is it?

14 MR. HALL: Object to the form.

15 Q (By Ms. Patterson) Because you haven't looked at
16 data regarding any other hospital in the state of
17 Oklahoma; isn't that right?

18 MR. HALL: Same objection.

19 A My opinions are based upon my experience in my
20 time at those hospitals.

21 Q (By Ms. Patterson) Right. Which means you
22 haven't looked at data about whether or not there has been
23 an increase, at all, in chronic pain diagnoses at other
24 hospitals in Oklahoma? You've never looked at that, have
25 you?

1 Q -- number you --

2 A -- if I pulled all the medications, back in the
3 '90s, versus now, what we -- for the patients?

4 Q Let me back up and try to ask it in a much more
5 simple way.

6 A Okay.

7 Q There are, as you said a moment ago, 200-plus
8 hospitals in the state of Oklahoma --

9 A Yes.

10 Q -- give or take, right?

11 A Correct.

12 Q Okay. Have you ever looked at data from any of
13 those other hospitals in the state of Oklahoma, regarding
14 the level of opioid medications that are being prescribed
15 and administered in those hospitals, from 1990 to today?

16 MR. HALL: Object to the form.

17 A I have not, because they don't influence my
18 personal experience in the places that I was, and I
19 haven't reviewed more data --

20 Q (By Ms. Patterson) Right.

21 A -- that might -- that would -- that would, in
22 some way -- you would -- did that influence your opinion?
23 I have not gone out and reviewed data, purposefully,
24 because it's -- these are my opinions.

25 Q Right, I understand what your opinions are.

1 Q (By Ms. Patterson) Are you aware --

2 THE WITNESS: I think I said yes.

3 Q (By Ms. Patterson) I'm sorry?

4 A I thought I said yes to that one.

5 Q Okay.

6 A I -- I'm sorry, I --

7 Q All right. And the record will reflect -- we'll
8 see.

9 A Okay.

10 THE WITNESS: Did I?

11 Q (By Ms. Patterson) Can you provide us --

12 THE WITNESS: Sorry.

13 Q (By Ms. Patterson) -- with any statistics or
14 data regarding how, if at all, the prescribing behavior by
15 physicians, as it relates to opioids, has been impacted at
16 either of the three hospitals you've worked at in
17 Oklahoma, since the implementation of the HCAHPS survey?

18 MR. HALL: Object to the form.

19 A I can only provide my opinions that were based
20 upon my im- -- my experiences at those hospitals.

21 MS. PATTERSON: Objection, nonresponsive.

22 Q (By Ms. Patterson) I'm asking: Can you provide
23 us any statistics or data upon which those opinions --

24 A I'm not a statistician.

25 Q -- are purportedly based --

1 **A** Sorry. I apologize. Go -- go ahead. I'm
2 sorry.

3 **Q** Can you provide me any data or statistics upon
4 which your stated opinion is based, as it relates to the
5 impact of the implementation of the pain management
6 dimension on the HCAHPS survey on prescribing behavior at
7 the facilities where you have worked since entering
8 hospital administration?

9 MR. HALL: Object to the form.

10 **A** I'm not a statistician, so I cannot provide you
11 with those numbers.

12 **Q** (By Ms. Patterson) And you don't have to be a
13 statistician to provide me with those numbers.

14 **A** Correct.

15 MR. HALL: Object to the form.

16 **Q** (By Ms. Patterson) So can you provide me with
17 any data?

18 **A** My testimony is based upon my experience. I
19 cannot bring you data of the numbers -- for numbers, to
20 give to you at this time.

21 **Q** But that data is out there. If you wanted to,
22 or had wanted to, you could have pulled data from -- let's
23 just say the facility that you're working at right now.
24 You could pull data, couldn't you, about how the chronic
25 pain diagnoses has changed, if at all, in the past 20

1 years, couldn't you?

2 MR. HALL: Object to the form.

3 A I'm not sure I could do that. With the
4 private -- privatization rules and State rules, I'm not
5 sure I could do that. I -- it -- it may be possible, I
6 just don't know that that is possible.

7 Q (By Ms. Patterson) Okay. And you haven't looked
8 into whether or not that's possible?

9 MR. HALL: Object to the form.

10 A I did not, because my opinions were based upon
11 my experience up to that point. I didn't want to pull
12 data to -- to -- to influence those opinions. I -- I
13 wanted -- I would come to testify about my opinions and my
14 experiences and I didn't want data be -- to be pulled in
15 to -- to influence my opinion. My opinion was my opinion,
16 based upon my experience.

17 Q (By Ms. Patterson) So even if the -- even --
18 even if the data might have not supported your opinion,
19 you just chose to -- you chose not to want to look at the
20 data because you didn't want it to influence your opinion?

21 MR. HALL: Object to the form.

22 A I didn't want to be accused of influencing my
23 opinion by the data.

24 Q (By Ms. Patterson) Got it.

25 A Either way.

1 Q All right.

2 MR. HALL: Same objection.

3 Q (By Ms. Patterson) Since being retained to serve
4 as an expert witness in this case, have you communicated
5 with or gathered data from hospital administrators at any
6 other hospital in the state of Oklahoma, about the impact
7 of the HCAHPS survey or the inclusion of the pain
8 management dimension in the HCAHPS survey, on how they
9 operate their hospitals?

10 A No.

11 Q Since -- well, strike that.

12 At any point in time during your professional or
13 academic career, have you communicated with or gathered
14 data from any other hospital or a hospital administrator
15 in the state of Oklahoma, about how the inclusion of the
16 pain management dimension, in the HCAHPS survey, has
17 impact- -- impacted how they operate their hospital?

18 A No.

19 Q Since being retained as an expert witness in
20 this case, have you communicated with or gathered data
21 from hospital administrators at any other hospital in the
22 state of Oklahoma, about how the inclusion of the pain
23 management dimension, in the HCAHPS survey, has impacted
24 prescribing behavior at their facilities?

25 MR. HALL: Object to the form.

1 **A** My experience is based upon my experience. I
2 was not influenced and did not survey or did not talk to a
3 lot of other -- or any other hospital administrators based
4 on this opinion. We talk shop a lot when we're around
5 each other. Can I say that I asked -- asked, "Do you
6 share this opinion?" No, I have not.

7 **Q** (By Ms. Patterson) Okay. So the answer to my
8 question is no?

9 MR. HALL: Object to the form.

10 **A** It's more nuanced than that, but if that's what
11 you'd like.

12 **Q** (By Ms. Patterson) No, I -- I -- let -- let me
13 ask it again and, if you can't answer it "yes" or "no,"
14 that's fine. But the question is: Since being retained
15 to serve as an expert witness in this case, have you
16 communicated with or gathered data from any hospital
17 administrator, at any other hospital in the state of
18 Oklahoma, about the impact of the inclusion of the pain
19 management dimension, on the HCAHPS survey, on the
20 prescribing behaviors at their hospitals?

21 **A** I'm sorry, I --

22 MR. HALL: Same objection.

23 **A** -- thought the second question was had I ever.
24 I thought the first question was, "Have you, since the in-
25 -- since the" --

1 Q (By Ms. Patterson) I'm -- I'm asking you -- I'm
2 asking you the questions --

3 A Right.

4 Q -- both ways and --

5 A Okay, but the --

6 Q Just so you know, I'm asking you the question --

7 A Right, but I thought the first one was, "Have
8 you, since the retainer," and the answer is: No, I have
9 not spoken to anyone about this since the retainer.

10 Q Okay. But --

11 A Have I ever spoken to anyone? I'm sure, as
12 we -- in my 18-year career, eight-year career, however we
13 want to say it, have I talked to other people about
14 HCAHPS? Absolutely.

15 Q It's not just about HCAHPS. It's more --

16 A And about pain? It's part of it.

17 Q And that's not what -- that wasn't the question,
18 either.

19 A Okay.

20 Q The question is specific to what you said your
21 opinion was.

22 A Okay.

23 Q So let me try it again, okay?

24 A I do not believe so. I'll -- I'll give you
25 that. I do not believe I have done that.

1 Q Right, and I understand that. I just need to
2 get it clear on the record, so let me ask --

3 A Okay. Yes, ma'am.

4 Q -- my question again.

5 A Okay.

6 Q Have you communicated with or gathered data from
7 any other hospital or hospital administrator in the state
8 of Oklahoma, at any time during your professional or
9 academic career, about the impact of including the pain
10 management dimension on the HCAHPS survey, as it relates
11 to the prescribing behaviors at their hospitals?

12 MR. HALL: Object to the form.

13 A Not to any other administrators, no.

14 Q (By Ms. Patterson) Okay. At any other
15 hospitals?

16 A At any other hospitals.

17 Q Okay.

18 A I mean, in our own hospital --

19 Q Right, I'm --

20 A -- of course, we talked about that stuff --

21 Q Sure, I'm talking about outside --

22 A -- all the time.

23 Q -- your hospital. You understand that, right?

24 A I do understand that.

25 Q All right.

1 A -- nursing employees --

2 Q Uh-huh, uh-huh.

3 A -- other administrators within my facility,
4 other administrators within SSM, other executives at the
5 hospitals I was at, nursing executives, accounting
6 executives -- we talked about these things in -- in our
7 individual hospitals all the time.

8 You -- you don't go out -- you know, you're
9 probably, like, "Well, why don't you talk about it with
10 other people?" You don't always go out and say, "We've
11 got a problem with this," because you -- you know, you
12 don't go out and say, "We've got problems with certain
13 things." We all knew we had problems with certain things,
14 but you don't necessarily go out and talk about that.

15 But, within our organizations, with our
16 physicians, with our leadership, with our other hospital
17 administrators, directors and above, certainly we spoke
18 about the HCAHPS survey and what -- what was going on with
19 our opioids going up in our communities.

20 Q Okay. And that -- the discussions you're
21 talking about were within your own hospital organization?

22 A I would say within the hospital organizations,
23 yes.

24 Q And -- and you say, "We knew we had problems"?

25 A We felt like we did, yes, because --

1 Q Well, what -- can you be more specific? What
2 problems did you know that you had?

3 A We were seeing an increase of chronic pain
4 management diagnoses and there was no change to the
5 environment that we were in. It's not like things got
6 heavier. So people were have- -- were being diagnosed
7 with more chronic pain and nothing had changed.

8 In fact, we were probably less of an agrarian
9 society, less of an -- an environment that would cause
10 injuries that would cause chronic pain, probably less so,
11 as we moved along and, yet, we would have more -- more
12 chronic pain diagnoses in the hospital and in the -- in
13 the clinics, so --

14 Q Do you have any -- sorry, I didn't mean to
15 interrupt. Go ahead.

16 A No, I -- so the evidence was those things that
17 we talked about before in our communities, when you said,
18 "We have a problem with it," we also knew -- we would see
19 more people into our -- into our emergency department,
20 what's called obtunded, which means unconscious, and,
21 usually, it's because they had mixed some sort of opioid
22 that they had received with alcohol. And so we were
23 seeing more patients in the emergency room obtunded.

24 I got to say we didn't see -- in -- in those
25 small communities I was in, we didn't see a dearth of

1 overdoses, we did see some, and we're seeing in our -- in
2 my downtown hospital now, we see overdoses, for sure, but
3 we were seeing more evidence that things in the community
4 were happening when people were embracing these
5 medications and it was affecting their lives.

6 So we knew that there were issues happening in
7 the community. We believed -- I believed that one of the
8 things was, was we wrote more scripts for narcotics than
9 we used to and that, in turn, was causing more issues.

10 MS. PATTERSON: Objection, nonresponsive.

11 Q (By Ms. Patterson) All right, Mr. Griffith. Let
12 me ask you this question.

13 A I -- can I ask what I didn't respond on that?
14 I'm -- I'm sorry, I -- I want to -- okay, sorry.

15 Q The period of time we've been talking about from
16 the late '90s to the present, when you say, based on your
17 experience at your hospitals, there's been an increase in
18 the diagnosis of chronic pain --

19 A Uh-huh.

20 Q -- have you noticed an increase in the diagnosis
21 of any other medical conditions during that same period of
22 time?

23 MR. HALL: Object to the form.

24 A Yes.

25 Q (By Ms. Patterson) Like, what?

1 A Obesity, I would say, is a new one, yes.

2 Q Okay. What about mental health issues?

3 MR. HALL: Object to the form.

4 A No, in that -- but my situation is somewhat
5 unique.

6 Q (By Ms. Patterson) Uh-huh.

7 A Because the -- two of the facilities that we're
8 talking about that I've experienced at -- I had experience
9 at, had mental health units.

10 Q Uh-huh.

11 A So, to be above-board and completely honest, I
12 can't say that we saw more because we were a center for
13 that.

14 Q Right. Okay.

15 A So it -- it would -- my -- my experience with
16 that may be skewed, because St. Anthony had the second
17 largest --

18 Q Right.

19 A -- mental health facility in the country -- in
20 the na- -- in the state. Excuse me. Maryville had a
21 mental health unit. And so, you know, when you have that
22 and you have psychiatrists and you're in that group and
23 it's in your medical record, it -- it -- it skewed high
24 already, so it wasn't that I saw an increase in that.

25 Q Let me ask you this: Do you -- are you aware of

1 any national statistics that exist regarding the diagnosis
2 of chronic pain and whether or not that has increased,
3 just generally -- and I'm not just talking about in the
4 hospital setting, I'm just talking about generally. Has
5 the diagnoses by medical professionals of chronic pain
6 increased over the last 20 years?

7 A I believe that's the Pres- -- the President's
8 report states that, that I was given.

9 Q Okay. Other -- other than what you read in the
10 President's report, which you were given two days ago, do
11 you -- are you aware of any other data on that?

12 MR. HALL: Object to the form.

13 A I did not pull other data. I -- my experience
14 is that it's more.

15 Q (By Ms. Patterson) Okay. And that's --

16 A But did I pull data? No, I did not.

17 Q Again -- and I'm only -- your experience is
18 based on the hospitals that you've worked in. So I'm --

19 A Correct.

20 Q -- asking you a much broader question.

21 A Okay.

22 Q Have you pulled and looked at any data about
23 whether or not the diagnoses across-the-board of chronic
24 pain has increased and, if so, how much, in the last 20
25 years?

1 MR. HALL: Object to the form.

2 A I have not pulled that data. All politics is
3 local. I cared about the people in my community.

4 Q (By Ms. Patterson) Got it. And I --

5 A And my community was sicker.

6 Q And I understand that, Mr. Griffith, and I --
7 literally, I'm just trying to find out the scope of what
8 you did or didn't do.

9 A Okay.

10 Q I understand you're focused on your hospitals
11 and --

12 A Right.

13 Q -- focused on the patients that you're
14 responsible for caring for, okay?

15 A Okay.

16 Q But you're here presenting a test- -- a -- some
17 expert testimony which is much broader than -- well, it's
18 appearing -- or purporting to be much broader than based
19 on just the three hospitals that you've worked at;
20 wouldn't you agree?

21 MR. HALL: Object to the form.

22 A I would agree that my experience is based on my
23 experience. Is my experience unique? Having talked to
24 other administrators about other things, I do not believe
25 my experience is unique.

1 Q (By Ms. Patterson) All right. Let's look at the
2 first page of Exhibit 1 and under Item B.

3 A Are you talking about this first page or this
4 first page?

5 Q I'm sorry, the -- the -- the disclosure
6 document.

7 A That is on Exhib- --

8 Q Yeah --

9 A -- Exhibit H.

10 Q -- it's the first page of Exhibit H. It's a
11 little confusing.

12 A Yes, ma'am.

13 Q In the first section there, you talk about the
14 fact that, in 2006, the Centers for Medicare and Medicaid
15 Services, which we all know as CMS, implemented --
16 implemented a nationwide survey called the HCAHPS survey
17 to measure patient perspectives of hospital care. That's
18 an accurate statement, correct?

19 A Correct.

20 Q All right. And I think I asked you earlier -- I
21 know we touched on this, but do -- do you know what groups
22 or entities were involved in the development of the HCAHPS
23 survey?

24 MR. HALL: Object to the form.

25 A I -- by evidence of what was on the survey, I

1 believe The Joint Commission had influence on the survey
2 because of the way we were asking questions and stating --

3 Q (By Ms. Patterson) And -- and all I'm asking is
4 what groups. I mean --

5 A The Joint Commission.

6 Q Okay. Are you aware of any other entities or
7 institutions or individuals that were involved in the
8 development of the HCAHPS survey, other than The Joint
9 Commission?

10 MR. HALL: Same objection.

11 A I do not know that.

12 Q (By Ms. Patterson) Okay. And I asked you,
13 earlier, if you were familiar with the Agency for
14 Healthcare Research and Quality and you said you thought
15 you've --

16 A Vaguely.

17 Q -- heard of it?

18 A I -- vague- -- yes, I've --

19 Q Do you --

20 A -- heard of it, I -- but I don't know if they
21 have influence, I apologize.

22 Q Okay. And -- and if they -- and if that entity,
23 the AHRQ, did have involvement in the development of the
24 HCAHPS survey, you don't have any idea of what kind of
25 involvement that that organization had, do you?

1 MR. HALL: Object to the form.

2 A I -- I do not know who had influence, besides
3 the evidence of The Joint Commission's influence on the --
4 on the survey. I do not know who else. You can ask me a
5 list. I don't know who --

6 Q (By Ms. Patterson) Sure.

7 A -- else, besides --

8 Q Okay.

9 A -- by the evidence of The Joint Commission's
10 wording being on the final survey --

11 Q Got it.

12 A -- that they had influence.

13 Q Okay. Do you know, Mr. Griffith, if any of the
14 defendants in this case played any role, at all, in the
15 development of the HCAHPS survey?

16 MR. HALL: Object to the form.

17 A I know, in the linearness of how this happened,
18 there were -- from the defendants in this case, according
19 to the -- the accounting office report, had influence on
20 The Joint -- on The Joint Commission and the creation of a
21 fifth vital sign, which influenced the HCAHPS survey.

22 Did -- do I know that any of the defendants had
23 influence on the HCAHPS survey? I cannot say that, but it
24 does not exist in a vacuum. It exists linerally --
25 linearly with The Joint Commission's focus on pain.

1 Q (By Ms. Patterson) Okay. So -- so -- and by the
2 way, do you understand who the defendants are in this
3 case?

4 A Yes, I believe so.

5 Q If you'll look at the front of --

6 A Yeah, I think I'm --

7 Q -- Exhibit 1, you can see them all --

8 A -- familiar with --

9 Q -- listed there.

10 A -- Johnson and -- and -- and Purdue, and I
11 believe there's another --

12 Q Teva?

13 A Obviously, Teva and --

14 Q Yeah. And take a moment to look at that.

15 A Yes.

16 Q If you'll -- and let me finish, because we're
17 talking over --

18 A I --

19 Q -- each other.

20 A I apologize.

21 Q My -- no -- I just wanted to give you an
22 opportunity to -- and point out to you that there is a
23 list in front of you, at the -- on the front part of
24 Page 1, of the defendants in this case, okay? And so I
25 wanted to give you an opportunity to look at that.

1 Okay, have you had a chance to look at that?

2 **A** Yes, ma'am.

3 **Q** Okay. So my question, then, is: The only
4 information that you have that would possibly indicate
5 that any of the defendants in this case had any influence
6 over The Joint Commission, with regard to its focus on
7 pain, is based on what you've read in the General
8 Accounting Office document that was provided to you by
9 counsel for the State; is that correct?

10 MR. HALL: Object to the form.

11 **A** Yes, that is correct.

12 **Q** (By Ms. Patterson) Okay, thank you.

13 THE WITNESS: I'm going to do my best to go
14 slower.

15 **Q** (By Ms. Patterson) Again, looking at the first
16 page of your expert disclosure, in that first paragraph
17 under Section B, it says -- or you say, "The HCAHPS survey
18 included questions designed to illicit patients'
19 perspectives regarding multiple facets, quote,
20 'dimensions' of hospital care, including nurse and
21 physician communication, staff responsiveness,
22 cleanliness, and overall rating of hospital." Do you see
23 that?

24 **A** Yes.

25 **Q** And then you go on to say, "Another dimension

1 tested through the HCAHPS survey was pain management,"
2 correct?

3 A Correct.

4 Q And when you talk about the pain management
5 dimension, which is also what you referred to up in
6 Section A, that -- that is the three questions that have
7 been included on the HCAHPS survey regarding pain
8 management, correct?

9 A Correct.

10 Q All right. Do you -- do you know what those
11 three questions ask?

12 A "During your hospital stay, did the -- did
13 you -- did you need pain medication?"

14 Q Uh-huh.

15 A "Did -- during the hospital stay, how often was
16 your pain management well-controlled?"

17 Q Uh-huh.

18 A And, "During your hospital stay, how often did
19 the hospital staff do everything they could to help you
20 with your pain?"

21 Q Uh-huh. Okay. And I think those are the three
22 that you have set forth there and I -- do you know who
23 developed the scoring mechanism for the HCAHPS survey?

24 A No, but it is similar to The Joint Commission's
25 survey, so one thing leads to the other: I believe that

1 The Joint Commission would have influence on how the
2 scoring mechanism was placed, but I do not know that as a
3 factual --

4 Q Okay.

5 A -- event.

6 Q And -- and do you know what kind of validation
7 studies were done with regard to the scoring paradigm for
8 the HCAHPS survey?

9 A No, I --

10 MR. HALL: Object to the form.

11 A I do not know -- I'm not privy to that
12 knowledge.

13 Q (By Ms. Patterson) Okay. Now, underneath the --
14 the three questions that you've set out there -- and,
15 again, I'm looking at the first page of your expert
16 disclosure -- you go on to state, "Of all the dimensions
17 that contributed to a hospital's overall score on the
18 HCAHPS survey, the three most indicative of a hospital's
19 performance were: One, physician communication; two,
20 nurse communication; and, three, pain management." Do you
21 see that?

22 A Yes, ma'am.

23 Q What is the basis for that statement?

24 A Statistics.

25 Q I'm sorry?

1 **A** Statistics.

2 **Q** What statistics?

3 **A** When we would survey patients from Press Ganey
4 or -- or HealthStream or NRC, we would get what's called a
5 key driver report.

6 **Q** Uh-huh.

7 **A** And the key driver report -- the purpose of the
8 key driver report, in the -- in the old days with The
9 Joint Commission, and then the HCAHPS survey, was to tell
10 you where to focus.

11 My example of this is: People believe that
12 hospital food is pretty bad and some people focus a lot on
13 hospital food. It doesn't help overall patient
14 satisfaction, because it is low on the key driver sur- --
15 on the key driver -- key driver list.

16 So you can have wonderful food and have bad
17 satisfaction and, from an HCAHPS standpoint, or, before
18 that, in The Joint Commission, in just a Press Ganey
19 standpoint, or you can have amazing -- you know, chefs in
20 each room -- that's an overexaggeration -- but you can
21 have wonderful food and have bad satisfaction or terrible
22 food and have good satisfaction.

23 The key driver, statistically -- because Press
24 Ganey would give us the statistics of what was the key
25 drivers to your overall satisfaction.

1 In the reports that we would get, in the vast
2 majority of those that I can remember, the one, two or
3 three -- and they changed places. Sometimes, it was -- of
4 those three, they would kind of go up and down -- what was
5 the most important driver to overall satisfaction, was
6 communication with physicians, communications with nurses,
7 and -- and how the patient felt about their pain
8 management. Those would go up and down on the key driver
9 report.

10 Q Okay. So what you were just talking about, this
11 key driver report, you used an example of the Press Ganey
12 survey?

13 A Yes.

14 Q Okay. And that was the survey that was in
15 existence prior to the implementation of HCAHPS, right?

16 A Yes, but --

17 MR. HALL: Object to the form.

18 Q (By Ms. Patterson) Is that -- is that correct?

19 MR. HALL: Object to the form.

20 A It did exist before the HCAHPS.

21 Q (By Ms. Patterson) Okay.

22 A But it existed after HCAHPS, as well.

23 Q Right.

24 A Because we continued to surv- -- use the -- that
25 method to survey patients because HCAHPS is delayed, so

1 it -- it takes a long time for HCAHPS scores to come back
2 to you. It's too late to make changes by the time you get
3 the official HCAHPS report from CMS. You continue,
4 always, to have to survey a real-time, as --

5 Q Mr. Griffith --

6 A -- as close to --

7 Q -- I hate to interrupt you. I have a limited
8 amount of time and --

9 A Sorry.

10 Q And I'm -- I'm not asking you about Press Ganey.
11 That's why I'm stopping you right here. That's not what
12 your report is about, is it? So what I asked you about
13 is the statement --

14 MR. HALL: Object to the form.

15 Q (By Ms. Patterson) -- here on your report that
16 says, of the dimensions that contributed to the hospital's
17 overall score on the HCAHPS survey, the most indicative
18 were those three that I mentioned.

19 A They are the most indicative.

20 Q Okay. And I want to know on what you base that
21 statement.

22 MR. HALL: Object to the form.

23 A Statistics that we get from -- from analyzing
24 the HCAHPS survey reports.

25 Q (By Ms. Patterson) And those statistics are in

1 something called a key driver report?

2 A Yes.

3 Q And you're telling me that the key driver
4 report, from time to time, shows that there's a different
5 impact of those three categories and so -- is that right?

6 MR. HALL: Object to the form.

7 A Yes. Sometimes, they're higher; sometimes,
8 they're lower. But those three, usually, are the top
9 three in --

10 Q (By Ms. Patterson) Always?

11 A -- my experience.

12 MR. HALL: Object to the form.

13 A I hate to use the word "always." Sometimes,
14 might -- one might drop to four, but in the vast majority
15 of them, in my experience, they were one, two or three.

16 Q (By Ms. Patterson) Have you done any research on
17 that or compiled any data or reviewed any data, for
18 purposes of making the statement in your expert report, to
19 support that statement?

20 A No, I have not.

21 Q Are you aware of any data that has been
22 published or is publicly available that would support your
23 statement here that the three most indicative dimensions
24 on a hospital's performance are those three that you've
25 listed: Physician communication, nurse communication and

1 pain management?

2 A I --

3 MR. HALL: Object to the form.

4 A I listed those under my personal experience. I
5 have not look- -- reviewed data to inform that opinion.

6 Q (By Ms. Patterson) Okay. Or support that
7 opinion?

8 MR. HALL: Object to the form.

9 A Correct.

10 Q (By Ms. Patterson) Okay. The next statement
11 there, you say, is, "In 2007, hospitals were required to
12 report the HCAHPS scores in order to receive annual
13 updates to certain federal funding," correct?

14 A Yes.

15 Q And the reporting is made to CMS, correct?

16 A Correct.

17 Q And CMS is a -- as we said earlier, an agency of
18 the federal government, correct?

19 A Correct.

20 Q Okay. Your disclosure goes on to state that,
21 "Starting in 2012, a hospital score on the HCAHPS survey
22 became a direct factor in calculating federal funding."
23 Do you see that?

24 A Yes.

25 Q And that was something that was promulgated by

1 in the survey results of the other hospitals at which you
2 have not worked, are you?

3 A Of the --

4 MR. HALL: Object to the form.

5 A -- individuals, no. I would say from the
6 regional standpoint. Because, usually, those -- those
7 would have a region, like an AHA region.

8 Q Uh-huh.

9 A And in regions, I would know the key dri- --
10 you -- you could see the key drivers of -- in the
11 remembrance of the reports that I've seen in the past, you
12 could see the key drivers in the region.

13 Q (By Ms. Patterson) I get that you can see
14 them --

15 A Yeah.

16 Q -- in the region, but --

17 A Do I know the individual hospitals? No, I do
18 not.

19 MR. HALL: Same objection.

20 Q (By Ms. Patterson) And you don't know if pain
21 management was a key driver within the other hospitals
22 within the state of Oklahoma that you did not work at --

23 MR. HALL: Same objection --

24 Q (By Ms. Patterson) -- do you?

25 MR. HALL: -- asked and answered.

1 MS. PATTERSON: No, it hasn't been answered.

2 Q (By Ms. Patterson) Can you answer that?

3 A I've -- I -- I've never seen another hospital's
4 individualized report.

5 Q Thank you.

6 A I know the aggregate report.

7 Q But you haven't seen their individual --

8 A I have not seen their individual report.

9 Q Thank you. That's all I'm looking for.

10 A Places that I have not worked.

11 Q Thank you.

12 MR. HALL: Counsel, we've been on the record for
13 about an hour.

14 MS. PATTERSON: Sure.

15 MR. HALL: Can we take a break?

16 MS. PATTERSON: Sure.

17 MR. HALL: Thanks.

18 THE VIDEOGRAPHER: Just a moment. Going off the
19 record, 3:47.

20 (Recess was had from 3:47 p.m. to 4:07 p.m.)

21 THE VIDEOGRAPHER: We are back on the record,
22 4:07.

23 Q (By Ms. Patterson) Mr. Griffith, we're back on
24 the record.

25 You used a term, earlier today, "value-based

1 think we said was about, what, 18 or so years, right?

2 A Uh-huh.

3 Q So during the 18 or so years that you have been
4 in hospital administration, how many times have you seen a
5 physician write more prescription -- or a -- a
6 prescription or more prescriptions, in order to improve a
7 pain management score?

8 A Again --

9 MR. HALL: Same objection.

10 A -- I'm not --

11 Q (By Ms. Patterson) Is it --

12 A I'm not going to put a number on it. Sorry.

13 Q Okay. And that -- and have you kept data on
14 that, such that you could put a number on it?

15 MR. HALL: Same objection.

16 A No, I have not.

17 Q (By Ms. Patterson) Have you looked at data on
18 that?

19 A I, purposely, did not review data before my
20 expert testimony, so that it would not seem that it was
21 influencing my opinions of an -- as an expert.

22 Q Okay. And I guess what I'm trying to figure out
23 is: If it's your testimony, as an expert, that physicians
24 have written more prescriptions in order to improve pain
25 management scores at the hospital where -- hospitals where

1 a couple days ago by counsel for the State?

2 A I have not looked, page-for-page, for this, but,
3 if you're saying it's complete, I believe you.

4 Q Okay. And you say you've reviewed both of those
5 since they were provided to you by the counsel for the
6 State?

7 A Yes, these are the two documents provided to me.

8 MS. PATTERSON: Okay. With that, I'll pass the
9 witness.

10 MR. TAM: Can we take a break, a short break?

11 MR. HALL: Yeah.

12 THE VIDEOGRAPHER: Just a moment. Going off the
13 record, 4:52.

14 (Recess was had from 4:52 p.m. to 5:03 p.m.)

15 THE VIDEOGRAPHER: We are on the record, 5:03.

16 This is the beginning of Disk 4.

17 EXAMINATION

18 BY MR. TAM:

19 Q Mr. Griffith, we just returned from a break.
20 Again, my name is Jonathan Tam and I represent Purdue.
21 Are you ready to resume?

22 A Yes.

23 Q So you were just asked some questions about your
24 observations about sales reps. Do you -- do you recall
25 that?

1 A Yes.

2 Q And did you -- is it your testimony that you
3 observed an increase in the number of sales reps who
4 visited doctors?

5 A Yes.

6 Q And that observation is based on your
7 experience; it's not based on any data, is it?

8 MR. HALL: Object to the form.

9 A All of my observations are based upon my
10 observations. My opinions are based upon my observations.

11 Q (By Mr. Tam) But that's -- but your opinion is
12 not based on any data that monitors or tracks the number
13 of visits by sales reps, is it?

14 A I had no counter of sales reps, no.

15 Q Sorry, just so I understand your -- your answer,
16 you said you have no count of --

17 A No counter. You know, like a -- you know, a --
18 balls and strikes, you know, there's no counter of how
19 many sales reps I saw, no.

20 Q And there was no report you consulted?

21 MR. HALL: Object to the form.

22 A No.

23 Q (By Mr. Tam) And so -- and I don't mean this in
24 a pejorative sense. I mean, your opinion is based on your
25 eyeball test?